

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
RPP156AUS

In Re Application of **Yasmin Thanavala et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/420,695	October 19, 1999	Michele C. Flood	24041	1655	3144

Title: **ORAL IMMUNOLOGY USING PLANT PRODUCT CONTAINING HEPATITIS SURFACE ANTIGEN**

COMMISSIONER FOR PATENTS:

Transmitted herewith is:

- 1) Reply to Office Communication w/List of Included Documents for Reproduction of File
- 1) Copies of all documents sent and received between USPTO and our office from 10/19/99 to the present
- 1) Copy of Notice Under 37 CFR 1.251
- 1) Certificate of Mailing by First Class Mail
- 1) Acknowledgement Postcard

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in the above identified application.

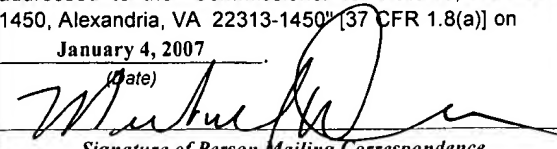
- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
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Signature

Dated: **January 4, 2007**

Michael L. Dunn
Reg. No. 25330
Simpson & Simpson, PLLC
5555 Main Street
Williamsville, New York 14221
(716) 626-1564 Phone
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on January 4, 2007 (Date)  Signature of Person Mailing Correspondence Michael L. Dunn Typed or Printed Name of Person Mailing Correspondence
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cc:



Attorney Docket No. RPP156AUS
U.S. Patent Application No. 09/420,695
Reply to Office Communication of December 11, 2006
Date: January 4, 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Patent Application No.: 09/420,695

Confirmation No.: 3144

Applicant(s): Yasmin Thanavala et al.

Customer No.: 24041

Filed: October 19, 1999

For: ORAL IMMUNOLOGY USING PLANT PRODUCT CONTAINING HEPATITIS
SURFACE ANTIGEN

TC/Art Unit: 1655

Examiner: Michele C. Flood

Docket No.: RPP156AUS

Certificate of Mailing by First Class Mail

I certify that this Reply to Office Communication is being deposited on January 4, 2007 with the U.S. Postal Service as first class mail under 37 C.F.R. §1.8 and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Michael L. Dunn
Reg. No. 25330

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Honorable Sir:

This is a reply to the Notice Under 37 CFR 1.251 dated December 11, 2006 regarding the above-identified patent application. Please find a list attached of all correspondence included to reconstruct the file. Please reconstruct the above-identified application misplaced by the U.S. Patent & Trademark Office.

List of Included Documents

1. Copy of Notice Under 37 CFR 1.251 dated 12/11/06
2. Copy of Status Inquiry faxed to USPTO 3/27/06
3. Copy of Status Inquiry & Change of Correspondence Address faxed to USPTO
2/28/05
4. Copy of Decision on Appeal dated 2/27/04
5. Copy of Acknowledgement Postcard received 11/20/03
6. Copy of Status Inquiry mailed to USPTO 10/27/03
7. Copy of USPTO Office Communication dated 4/30/03
8. Copy of Order Remanding to Examiner dated 1/29/03
9. Copy of Acknowledgement Postcard received 1/13/03
10. Copy of Supplemental IDS mailed to USPTO 1/6/03
11. Copy of Notice of Recordation of Assignment Document received 10/11/02
12. Copy of Acknowledgement Postcard received 8/12/02
13. Copy of Assignment mailed to USPTO 7/29/02
14. Copy of notice of Certified Copy of Petition to Correct Inventorship received
12/10/01
15. Copy of Second Letter ordering Certified Copy of Petition to Correct Inventorship
mailed 11/21/01
16. Copy of notice of Certified Copy

17. Copy of Letter ordering Certified Copy of Petition to Correct Inventorship mailed 11/6/01
18. Copy of Examiner's Answer dated 10/10/01
19. Copy of Acknowledgement Postcard received 8/8/01
20. Copy of Letter in Response to Notice of Non-Compliance with Second Substitute Appeal Brief mailed to USPTO 7/26/01
21. Copy of Notice of Non-Compliance with 37 CFR 1.192(c) dated 7/17/01
22. Copy of Monthly Statement of Deposit Account received 8/31/01
23. Copy of notice of Certified Copies of Assignments received 7/27/01
24. Copy of Acknowledgement Postcard received 7/20/01
25. Copy of Letter ordering Certified Copies of Assignments mailed 7/13/01
26. Copy of Substitute Appeal Brief mailed 6/12/01
27. Copy of Notice of Non-Compliance with 37 CFR 1.192(c) dated 6/5/01
28. Copy of Corrected Filing Receipt received 5/23/01
29. Copy of Acknowledgement Postcard received 5/21/01
30. Copy of Petition to Correct Inventorship with Consent of Assignee to Add Inventors mailed 5/8/01
31. Copy of Notice of Recordation of Assignment Document received 5/5/00
32. Copy of Consent of Assignee to Add Inventors dated 5/2/01
33. Copy of Notice of Recordation of Assignment Document received 6/19/00
34. Copy of Declaration Under 37 CFR 1.48(a)(2) dated 4/27/01

- 35. Copy of Acknowledgement Postcard received 4/5/01
- 36. Copy of Appeal Brief mailed 4/2/01
- 37. Copy of Acknowledgement Postcard received 2/8/01
- 38. Copy of Notice of Appeal mailed 2/2/01
- 39. Copy of Advisory Action dated 1/29/01
- 40. Copy of Request for Reconsideration with Declaration Under 37 CFR 1.132 faxed to
USPTO 1/4/01
- 41. Copy of Monthly Statement of Deposit Account received 1/24/01
- 42. Copy of Letter ordering Certified Copies of Assignments mailed 12/19/00
- 43. Copy of Letter ordering Certified Copies of Assignments mailed 12/1/00
- 44. Copy of Monthly Statement of Deposit Account dated 10/31/00
- 45. Copy of notice of Certified Copies of Assignments received 10/30/00
- 46. Copy of Letter ordering Certified Copies of Assignments mailed 10/19/00
- 47. Copy of Final Office Action and reference from USPTO dated 10/4/00
- 48. Copy of notice of 30 Certified Copies of application dated 9/28/00
- 49. Copy of Letter ordering 30 Certified Copies of application mailed 9/28/00
- 50. Copy of notice of Certified Copies of Assignments received 10/6/00
- 51. Copy of Letter ordering Certified Copies of Assignments mailed 9/28/00
- 52. Copy of Monthly Statement of Deposit Account received 8/23/00
- 53. Copy of Acknowledgement Postcard received 7/20/00
- 54. Copy of Amendment and Terminal Disclaimer mailed to USPTO 7/11/00

55. Copy of Office Action from USPTO dated 4/11/00
56. Copy of Notice of Recordation of Assignment Document received 6/19/00
57. Copy of Notice of Recordation of Assignment Document received 5/5/00
58. Copy of Corrected Filing Receipt received 3/22/00
59. Copy of Acknowledgement Postcard received 4/3/00
60. Copy of Assignment mailed to USPTO 3/2/00
61. Copy of Acknowledgement Postcard received 2/28/00
62. Copy of Preliminary Amendment mailed to USPTO 2/16/00
63. Copy of Corrected Filing Receipt received 2/7/00
64. Copy of Acknowledgement Postcard received 2/14/00
65. Copy of Submission of Verified Statements Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(b) and (d) mailed to USPTO 2/4/00
66. Copy of Acknowledgement Postcard received 3/2/00
67. Copy of Assignment mailed to USPTO 2/4/00
68. Copy of IDS mailed to USPTO 1/25/00
69. Copy of Request for Corrected Official Filing Receipt mailed to USPTO 1/21/00
70. Copy of Filing Receipt received from USPTO 11/18/99
71. Copy of Acknowledgement Postcard received 1/24/00
72. Copy of Statement in Compliance with 37 CFR 1.63, copies of two (2) executed Declarations and Powers of Attorney and Claim for Small Entity Status, both mailed to USPTO 1/12/00

- 73. Copy of Notice to File Missing Parts of Application received from USPTO dated 11/12/99
- 74. Copy of Acknowledgement Postcard received 12/23/99
- 75. Copy of Preliminary Amendment mailed to USPTO 12/14/99
- 76. Copy of Acknowledgement Postcard dated 10/19/99
- 77. Copy of Express Mail Receipt dated 10/19/99
- 78. Copies of Transmittal of Patent Application, Unexecuted Declarations and Powers of Attorney, Specification as filed and reference

- 73. Copy of Notice to File Missing Parts of Application received from USPTO dated 11/12/99
- 74. Copy of Acknowledgement Postcard received 12/23/99
- 75. Copy of Preliminary Amendment mailed to USPTO 12/14/99
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- 78. Copies of Transmittal of Patent Application, Unexecuted Declarations and Powers of Attorney, Specification as filed and reference

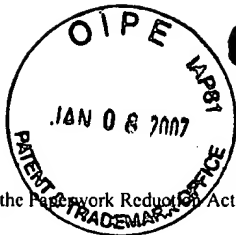
Respectfully submitted,



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Dated: January 4, 2007

Attachments



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

In re Application of: Yasmin Thanavala et al.
Application No.: 09/420,695
Filing Date: October 19, 1999
Title: ORAL IMMUNOLOGY USING PLANT PRODUCT CONTAINING
HEPATITIS SURFACE ANTIGEN

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NOTICE UNDER 37 CFR 1.251 - Pending Application

Statement (check the appropriate box):

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☒ The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

☐ The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of applicant's record of such paper(s).

☐ The papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records.

☐ Applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

1/4/07
Date

Michael L. Dunn
Signature

Michael L. Dunn
Typed or printed name

A copy of this notice should be returned with the reply.

Burden Hour Statement: This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copies of correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.